



2829/4 ✓
Atty. Dkt. No. 035905-0103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew J. WALKER et al.
Title: NONVOLATILE MEMORY ON SOI
AND COMPOUND
SEMICONDUCTOR SUBSTRATES
AND METHOD OF FABRICATION
Appl. No.: 09/927,642
Filing Date: 08/13/2001
Examiner: Evan T. Pert
Art Unit: 2829

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AMENDMENT TRANSMITTAL

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.
- ☐ Small Entity statement is enclosed.
- ☒ The fee required for additional claims is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Additional Claims Fee
Total Claims:	70	68	2	x \$18.00	\$36.00
Independents:	5	3	2	x \$84.00	\$168.00
First presentation of any Multiple Dependent Claims:				+ \$280.00	\$0.00
CLAIMS FEE TOTAL:					\$204.00

- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$410.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$930.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,450.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,970.00	\$0.00
	EXTENSION FEE TOTAL:		\$0.00
<input type="checkbox"/>	Statutory Disclaimer Fee under 37 C.F.R. 1.20(d):	\$110.00	\$0.00
	CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL:		\$204.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$0.00
	TOTAL FEE:		\$204.00

☐ Please charge Deposit Account No. 19-0741 in the amount of \$204.00. A duplicate copy of this transmittal is enclosed.

☒ A check in the amount of \$204.00 is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 5/23/03

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5143
Telephone: (202) 672-5571
Facsimile: (202) 672-5399

By [Signature]
for Harold C. Wegner
Attorney for Applicant
Registration No. 25,258

STEPHEN HUANG
Reg. No. 40,034



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AMENDMENT AND REPLY UNDER 37 CFR 1.111

Commissioner for Patents
Mail Stop AMENDMENT
PO Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Non-Final Office Action dated April 22, 2003, concerning the above-referenced patent application.

Please amend the application as follows.

In the Claims

Please amend the claims by replacing claim 25 with the following amended version.

A marked up version showing the changes made is attached as Exhibit A.

25. (Amended) A nonvolatile memory array, comprising:
a monolithic three dimensional array of nonvolatile memory devices comprising an
array of EEPROMs;
at least one driver circuit;
a substrate;

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01 FC:1201 168.00 OP
02 FC:1202 36.00 OP